

Title of proposed research:

## Functionalization of Carbon Nanotubes via Electrophilic Substitution Reaction in Polyphosphoric Acid

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### Outcomes from This Project

**Peer-Reviewed Papers:** Two articles are under preparation

1. Wang, David H.; [Baek, J.-B.](#); Nishino, S. F.; Johnson, G.; Spain, J. C.; Tan, L.-S. "Thermally Reactive Phenylethynyl-Terminated Bisamide Based on Semi-Enzymatically Produced 6-Phenylethynyl Picolinic Acid" *Polymer* **2005**, *submitted* (submitted: 10-28-05, Editor: S. Cheng, accepted as it is: 12-19-05, proof: 01-02-06, published online: published hardcopy).
2. Oh, S.-J.; Lee, H.-J.; Keum, D.-K.; Lee, S.-W.; Park, S.-Y.; Tan, L.-S.; [Baek, J.-B.](#) "Multiwalled Carbon Nanotubes and Nanofibers Grafted with Polyetherketones in Mild and Viscous Polymeric Acid" *Polymer* **2005** *submitted* (Polymer-05-2227, submitted: 10-28-05, Editor: T. Masuda, revision: 12-09-05, accepted: 12-19-05, proof: 12-30-05, published online: 01-10-06, published hardcopy:).
3. Lee, H.-J.; Oh, S.-J.; Choi, J.-Y.; Kim, J. W.; Han, J.; Tan, L.-S.; [Baek, J.-B.](#) "In-situ Synthesis of Polyethyleneterephthalate in Ethylene Glycol Containing Terephthalic Acid and Functionalized Multi-Walled Carbon Nanotubes as an Approach to MWNT/PET Nanocomposites" *Chemistry of Materials* **2005**, *17*, 5057-5064. (CM051218t, submitted 06-09-05, revision request 07-27-05, accepted 08-05-05, published online: 09-05-05, published hard copy: 10-15-05)

**Conference Proceedings:** Twenty-two proceeding articles

1. Lee, H.-J.; Oh, S.-J.; Choi, J.-Y.; Tan, L.-S.; [Baek, J.-B.](#) "Functionalization of carbon nanotubes via electrophilic substitution reaction in polyphosphoric acid" AFOSR 2005 Review Meeting, August 8-13, **2005**, Sheraton Suites, San Diego, CA.
2. Choi, J.-Y.; Wang, D. H.; Tan, L.-S.; [Baek, J.-B.](#) "Grafting of hyperbranched polyetherketones onto multi-walled carbon nanotubes via A<sub>3</sub> + B<sub>2</sub> approach" *Polym. Prepr.* **2005**, 46(2), xx. Washington DC, August 28-September 1, 2005.
3. Lee, H.-J.; Oh, S.-J.; Choi, J.-Y.; Kim, J.-W.; Han, J.; Tan, L.-S.; [Baek, J.-B.](#) "Polyethyleneterephthalate (PET) via in-situ polymerization of ethylene glycol containing functionalized carbon nanotube and terephthalic acid" *Polym. Prepr.* **2005**, 46(2), xx. Washington DC, August 28-September 1, 2005.
4. D. H. Wang; M. Houtz; [J.-B. Baek](#); L.-S. Tan "In-Situ polymerization of 6FDA and 1,3-bis(3-aminophenoxy)benzene in the presence of amine-functionalized vapor-grown carbon nanofibers" *Polym. Prepr.* **2005**, 46(2), xx. Washington DC, August 28-September 1, 2005.
5. Tan, L.-S.; Wang, D. H.; [Baek, J.-B.](#) "Grafting of Vapor-Grown Carbon nanofibers (VGCNF) with a Hyperbranched Poly(Ether-Ketone)" *2005 International Conference on Materials for Advanced Technologies (2005 ICMAT)*, Singapore, July 2-8 **2005**.

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7. Oh, S.-J.; Lee, H.-J.; Keum, D. K.; Lee, S.-W.; Park, S.-Y.; Lyons, C. B.; Tan, L.-S.; Baek, J.-B. "Polymerizations of 3- and 4-phenoxybenzoic acids onto carbon nanotubes via electrophilic substitution reaction in polyphosphoric acid" *Polym. Prepr.* **2005**, 46(1), xx. (30 min Invited Lecture for the Special Carbon Nanomaterials Session, after Richard E. Smalley Group)
8. Wang, D. H.; Baek, J.-B.; Tan, L.-S. "Phthalonitrile-terminated hyperbranched poly(arylene-ether-ketone-imide): synthesis and its blending with 4,4'-bis(3,4-dicyanophenoxy)biphenyl" *Polym. Prepr.* **2005**, 46(1), xx.
9. Tan, L.-S.; Baek, J.-B.; Wang, D. H.; Lyons, C. B. "Grafting of Vapor-Grown Carbon Nanofibers Via In-Situ Polycondensation of 3-Phenoxybenzoic Acid in Polyphosphoric Acid" *High Temple Workshop XXV* 2005, February 14, 2005-February 17, **2005**, Point Clear, Alabama
10. Baek, J.-B. "Functionalization of Carbon Nanotubes via Electrophilic Substitution Reaction in Polyphosphoric Acid" The Polymer Society of Korea-Chungcheong Branch Workshop **2005** (November 24, 2005, Chungnam national University, Daeheon).
11. Baek, J.-B. "Non-Woven Carbon Nanotube/Polymer Composite via Electro-Spinning Processing" Korea-North America Joint R&D Review **2005**, 12, 21-46 (November 17-18, 2005, Topaz Room, Renaissance Seoul Hotel).
12. Oh, S.-J.; Lee, H.-J.; Choi, J.-Y.; Tan, L.-S.; Baek, J.-B. "Functionalization of Carbon Nanotubes via Electrophilic Substitution Reaction in Polyphosphoric Acid" The Korean Chemical Society Fall Meeting **2005**, 96, 40 (October 21-22, 2005) (Invited Lecture for Polymer Symposium).
13. Lee, H.-J.; Lim, D.-H.; Lyons, C. B.; Tan, L.-S.; Baek, J.-B. "Chemical Modification of C60 by Destructive Electrophilic Substitution Reaction in Polyphosphoric Acid/Phosphorus Pentoxide" The Polymer Society of Korea 2005, 30(2), 827 (October 13-14, 2005).
14. Lee, H.-J.; Jang, Y.-H.; Tan, L.-S.; Baek, J.-B. "Covalent Functionalization of Graphite with 4-Aminobenzoic acid (MBA) via Electrophilic Substitution Reaction" The Polymer Society of Korea 2005, 30(2), 826 (October 13-14, 2005).
15. Oh, S.-J.; Lee, H.-J.; Choi, J.-Y.; Tan, L.-S.; Baek, J.-B. "Grafting of Poly(ether-ketones) onto the Surface of Multi-Walled Carbon nanotube (MWNT) via In-Situ Polymerization of 3- and 4-Phenoxybenzoic Acid" The Polymer Society of Korea 2005, 30(2), 661 (October 13-14, 2005).
16. Choi, J.-Y.; Lee, H.-J.; Oh, S.-J.; Tan, L.-S.; Baek, J.-B. "Grafting of Hyperbranched Polyetherketones (PEKs) onto Multi-Walled Carbon Nanotubes from A<sub>3</sub> + B<sub>2</sub> Monomers" The Polymer Society of Korea 2005, 30(2), 841 (October 13-14, 2005).
17. Lee, H.-J.; Oh, S.-J.; Choi, J.-Y.; Jang, Y.-J.; Park, S. Y.; Tan, L.-S.; Baek, J.-B. "Direct and Uniform Functionalization onto the Surface of Vapor-Grown Carbon Nanofiber (VGCNF) via Electrophilic Substitution in Polyphosphoric Acid" The Polymer Society of Korea 2005, 30(2), 767 (October 13-14, 2005).
18. Lee, H.-J.; Oh, S.-J.; Choi, J.-Y.; Tan, L.-S.; Baek, J.-B. "Functionalization of MWNTs with 4-Fluorobenzenesulfonyl Chloride in Polyphosphoric Acid" The Polymer Society of Korea 2005, 30(2), 768 (October 13-14, 2005).
19. Oh, S.-J.; Han, S.-W.; Tan, L.-S.; Baek, J.-B. "Purification and Functionalization of Single-Walled Carbon Nanotube (SWNT) in Mild Phosphoric Acid" The Polymer Society of Korea 2005, 30(2), 662 (October 13-14, 2005).
20. Oh, S.-J.; Lee, H.-J.; Lee, S.-W.; Park, S. Y.; Tan, L.-S.; Baek, J.-B. "Direct uniform grafting of polyphenyletherketones onto multi-walled carbon nanotubes via in-situ polymerization of 3- and 4-phenoxybenzoic acid" The Polymer Society of Korea **2005**, 30(1), 420 (April 15-16, 2005).
21. Lee, H.-J.; Oh, S.-J.; Tan, L.-S.; Baek, J.-B. "Various Functionalities onto Multi-Walled Carbon Nanotubes (MWNT's) via Friedel-Crafts Acylations in Polyphosphoric Acid" The Polymer Society of Korea **2005**, 30(1), 383 (April 15-16, 2005).
22. Choi, J.-Y.; Tan, L.-S.; Baek, J.-B. "Grafting of Hyperbranched Polyetherketones (PEKs) onto Multi-Walled Carbon Nanotubes from A<sub>3</sub> + B<sub>2</sub> Monomers" The Polymer Society of Korea **2005**, 30(1), 237 (April 15-16, 2005).

#### Invited Talks: Four invited talks

1. Baek, J.-B.; Tan, L.-S. "Hyperbranched Polymers: Their Synthesis, Properties, and Applications" SK Inc. Central Research Center, Ulsan, Kyungnam, 16:00-17:00 on July 29, 2005. (Host, Dr. In-Hee Park)
2. Baek, J.-B.; Tan, L.-S. "Energy and Future" Daegu New Technology Agency-Nano Practical Application Center, 13:30-16:30 on March 23, 2005. (Host, Professor, Kwang-Phil Lee)
3. Baek, J.-B.; Lyons, C. B.; Tan, L.-S. "Optically Switchable Dumbbell-Shaped ABA Triblock Copolymers and Recent Advances on CNT Functionalization" KRICT, January 19, 2005 (Host: Dr. Chang-Jin Lee).

**US Patents:** Two US Patents Filed

1. Baek, J.-B.; Tan, L.-S. "Nanocomposites from In-Situ Polymerization from 3-Phenoxybenzoic Acid in the Presence of Vapor-Grown Carbon Nanofibers" US Pat. Pending, **2004** (AFD 688, 11-20-03; Appl. No.: US10/963 469, 10-24-04; Issued on).
2. Baek, J.-B.; Tan, L.-S. "Arylcarbonylated Vapor-Grown Carbon Nanofibers" US Pat. Pending, **2004** (AFD 678, 11-20-03; Appl. No.: US10/963 468, 10-24-04; Issued on).

**Uniqueness of approach:**

We have first developed functionalization of CNTs via electrophilic substitution reaction and it is known to be the most efficient functionalization method onto CNTs. Thus, the reaction was successfully optimized to introduce various functional groups onto carbon nanotubes (CNTs) via electrophilic substitution reaction in polyphosphoric acid (PPA) and phosphorus pentoxide ( $P_2O_5$ ) medium. The degree of functionalization was determined as a function of substituents to find out optimum reaction temperature and time. The solubility of functionalized CNTs was tested and used as conductive additive into thermoplastics or thermoset resins.

**Approach:**

- A. Study kinetics of functionalization reaction as a function of reaction temperature and time
- B. Study the substituent effect of benzoic acid
- C. Characterization of resulting functionalized CNTs
- D. Study functionalized CNTs as conductive additives
- E. Introduction of optical chromophore onto CNTs and study their optical efficiency

**Impact to technology development as a result of the project:** We together with AFRL (Dr. Loon-Seng Tan) are only groups who developed the most efficient functionalization of electron deficient CNTs via electrophilic substitution reactions. The most important impact on CNT functionalization is the powerful and non-destructive reaction condition, which we developed. After well-optimized the reaction condition, one can extend this reaction condition to introduce various functionalities onto the surface of CNTs as he desired, which may allow processing easiness as well as taking advantage of the superior properties of CNTs.

## 1. INTRODUCTION

One-dimensional, nanoscale carbon-based materials are generally divided into three categories according to the number of walls and their diameter dimensions: (i) single-wall carbon nanotubes or SWNTs (0.7-3 nm); (ii) multi-wall carbon nanotubes or MWNTs (2-20 nm); (iii) carbon nanofibers or CNFs (40-100 nm) [1,2]. Although the unique properties of carbon nanotubes (CNTs) have been well known [3,4], there are two major issues related to the aspect ratio and interfacial adhesion when using CNT or CNF as a reinforcing additive and expecting enhanced properties from the resultant nanocomposites [5-12]. CNTs, especially single-walled carbon nanotubes, usually exist as ropes and bundles due to strong lateral interactions between the tubes, causing difficulty in homogeneously dispersing them in a multi-component system. As a result, their outstanding properties emerging from nanoscale could not be effectively transferred to matrix materials. To resolve this problem, various physical, chemical, or combined approaches have been reported to afford homogeneous dispersion of CNT in polymer solution or melt [13,14].

However, trying to have homogeneous dispersion in strong acidic media such as sulfuric acid and nitric acid make CNT into amorphous carbon materials [15,16]. Aided by a physical method such as sonication tends to accelerate imparting structural damages to CNT with respect to the exposure time and power level [17]. Furthermore, another concern is that the desired material properties of a nanocomposite invariably depend on the strength of specific interactions between CNT and matrix polymers. Mechanical properties of CNT nanocomposite via physical mixing, which are yet to approach the theoretical limits, would greatly depend on the homogeneity of CNT and strong interfacial interactions. Thus, noncovalent interactions between CNT and matrix in nanocomposite are not expected to have any synergic effect even after homogeneous CNT dispersion could be achieved.

Introducing suitable chemical groups to the surface of CNT by chemical methods are more viable because they can better prevent close lateral contacts among the nanotubes, enabling higher degree of exfoliation and allowing better compatibility with matrix component [18-23]. CNTs, however, are generally inert and chemically resistant. A common approach to covalent modification of CNT requires harsh reaction conditions in strong acids such as sulfuric acid, nitric acid, etc. and/or their mixtures at elevated temperatures. Significant damages to the molecular framework of CNT are inevitable [15,16].

We developed an improved electrophilic substitution process to prepare high molecular weight polyetherketones in commercial polyphosphoric acid (PPA, 83% assay) with additional phosphorous pentoxide ( $P_2O_5$ ) as a dehydrating agent to promote the Friedel-Crafts reaction efficiently [24]. We also demonstrated the functionalization of electron deficient vapor-grown carbon nanofiber (VGCNF) [25] and grafting of *meta*-polyetherketone or *m*PEK onto VGCNF by an *in-situ* Friedel-Crafts polymerization of an AB monomer, 3-phenoxybenzoic acid, in the same medium [26]. Because of viscous character of PPA medium, prepared polymers displayed unusual thermal relaxation of viscosity-and-shear-induced strain [27]. We expect that PPA is a mild and less-destructive reaction medium and plays two important roles for the effective and thus uniform covalent attachment on the surface of carbon nanomaterials. The one is its moderate acidic nature promotes deaggregation to help homogeneous dispersion without damaging CNT and the other is viscous character helps to impede reaggregation after dispersion, which is achieved by shear force. In this paper, we describe our continuous effort on the grafting of *m*PEK and *para*-polyetherketone (*p*PEK) onto multi-walled carbon nanotube (MWNT) by *in-situ* polycondensation of the AB monomers, 3- and 4-phenoxybenzoic acids in viscous PPA medium. For comparison purpose, VGCNF was also grafted with *m*PEK [26] and *p*PEK.

## 2. Experimental

### 2.1 Materials

All reagents and solvents were purchased from Aldrich Chemical Inc. and used as received, unless otherwise mentioned. The monomers, 3-phenoxybenzoic acid (3-PBA) and 4-phenoxybenzoic acid (4-PBA), were purified by recrystallization from toluene/heptane. Multi-walled carbon nanotube (MWNT, CVD MWNT 95) was obtained from Iljin Nanotech Co., LTD, Seoul, Korea [28]. Vapor-grown carbon nano fiber (VGCNF, PR-19-HT) was obtained from Applied Sciences Inc., Cedarville, OH [29].

### 2.2 Instrumentation

Infrared (FT-IR) and Raman spectra were recorded on a Bruker Fourier- transform spectrophotometer IFS-66/FRA106S. Elemental analysis was performed with a CE Instruments EA1110. The melting points (m.p.) of all the compounds were determined on a Mel-Temp apparatus and are uncorrected. Differential scanning calorimetry (DSC) was performed under the nitrogen atmosphere with heating and cooling rates of 10 °C/min using a TA instrument model MDSC2910. The  $T_g$ 's were taken from maximum inflections in baselines of the DSC traces. Thermogravimetric analysis (TGA) was conducted in nitrogen and air atmospheres with a heating rate of 10 °C/min using a TA instrument SDT 2960 thermogravimetric analyzer. The transmission electron microscope (TEM) employed in this work was a Philips CM-200 TEM with a LaB6 filament operating at 200 kV. For TEM, samples were dissolved in methanesulfonic acid (MSA) and diluted with *N*-methyl-2-pyrrolidinone (NMP). The samples were mounted on 200 mesh copper grids by dipping into the dilute solution and solvent was evaporated under reduced pressure (1 mmHg). The field emission scanning electron microscopy (Zeiss-FESEM) used in this work was LEO 1530FE. For SEM, there was no

specific treatment and all as-prepared nanocomposite samples with proper work-up procedure as described were mounted on conducting tape.

### **2.3 Representative Procedure for *In-situ* Polymerization (AB monomer with 10 wt% MWNT Load)**

Into a 250 mL resin flask equipped with a high torque mechanical stirrer, nitrogen inlet and outlet, and a solid-addition port, 4-phenoxybenzoic acid (5.4g, 25.21 mmol), and MWNT (0.6g), P<sub>2</sub>O<sub>5</sub> (15.0g) and PPA (83% P<sub>2</sub>O<sub>5</sub> assay; 100g) were placed and stirred under dry nitrogen purge at 130°C for 48h. The initially dark mixture due to dispersion of MWNT became more shiny and viscous as the polymerization progressed. At the end of the reaction, the color of mixture was shiny and dark brown, and the dope was poured into distilled water to form long single fiber. The resulting fiber bundles were chopped, collected, and washed with diluted ammonium hydroxide, and then Soxhlet-extracted with water (3days) and methanol (3days), and finally dried over phosphorous pentoxide under reduced pressure (0.05 mmHg) at 100°C for 72h to give the product in quantitative yield. Anal. Calcd. for C<sub>14.98</sub>H<sub>8</sub>O<sub>2</sub>: C, 81.78%; H, 3.67%. Found: C, 80.19%; H, 3.61%.

## **3. Results and Discussion**

### **3.1 Characterization of MWNT and VGCNF**

The average diameter and length of the MWNT (CVD MWNT 95) were 10-20 nm and 10-50 μm, respectively [28]. Those of VGCNF (PR-19-HT) were 60-200 nm and 50-100 μm, respectively [29]. The purity (carbon content) of the as-received MWNT and VGCNF were assessed by elemental analysis (Table 1). Some researchers have often considered VGCNFs as multi-wall nanotubes because of their hollow and concentric cores with submicron diameters. It is noteworthy that the as-received MWNT and VGCNF, which are prepared by chemical vapor deposition process, contain a significant



amount of hydrogen (0.15 and 1.10 wt%, respectively), presumably attributable to the  $sp^3$  C-H and  $sp^2$  C-H defects as hydrocarbons are used as the major component in the feedstock for their productions [30-34]. These defects would provide primary sites that are susceptible to the electrophilic substitution reaction. On the basis of the foregoing rationalization, we have recently reported for the first time that direct functionalization and grafting onto as-received VGCNF are very effective in PPA/ $P_2O_5$  medium [25,26]. However, we believe there must be other type of chemical reaction(s) between CNT and acylium ion to uniformly functionalize entire CNT [35].

**[Table 1. The Diameter, Length, and Elemental Analysis Data for MWNT and VGCNF]**

### **3.2 *In-Situ* Polymerization of AB Monomers with MWNT or VGCNF**

We have selected poly(oxy-1,3-phenylenecarbonyl-1,4-phenylene, *m*PEK) and poly(oxy-1,4-phenylenecarbonyl-1,4-phenylene, *p*PEK) as the matrix polymers mainly because the AB-monomers, 3-phenoxybenzoic acid (**1**) and 4-phenoxybenzoic acid (**2**) are commercially available, high MW *m*PEK and *p*PEK can be synthesized from corresponding monomers in PPA with an optimized  $P_2O_5$  content [24]. Thus, the *in-situ* polycondensation of each AB monomer in the presence of dispersed MWNT or VGCNF for comparison were carried out with a fixed feed ratio (1/9) of MWNT/monomer or VGCNF/monomer for all runs as depicted in Figure 1 and Table 2. The initial color of the all reaction mixtures was black because of the MWNT or VGCNF dispersion.

**[Figure 1. In-situ polymerization AB monomers with MWNT or VGCNF.]**

In the cases of MWNT-*g-m*PEK [36] and VGCNF-*g-m*PEK [37], the color of the reaction mixtures changed from black to shiny (indicative homogeneous dispersion), deep purple-brown, as the reaction progressed at the polymerization temperature. The dope stuck to the stirring rod 130 °C within 6h, not allowing further efficient stirring and mixing via shearing the mixture against the vessel walls. However, this provided a visual signal that high MW polymer-grafted carbon nanocomposites were being synthesized and an indication to terminate reactions at the right time. The resulting dopes were quite elastic. Therefore, they could not be poured into water to spin fiber simply by force of gravity. Instead, water was added into the reaction vessel and big chunk of product was isolated in each case.

In the cases where *p*PEK was grafted to MWNT or VGCNF to afford MWNT-*g-p*PEK [38] or VGCNF-*g-p*PEK [39] in that order, the colors of the reaction mixtures were both shiny, deep dark-red. Due to the crystalline nature of *p*PEK, the viscosities of these dopes were visually not as high as those of MWNT-*g-m*PEK and MWNT-*g-m*VGCNF. The reaction mixtures were stirred at 130 °C for 48h. Thus, the dopes could be poured into water to form long single fiber in each instance (Figure 2a and 2b) [40,41], suggesting the possibility of aligning the MWNT and VGCNF in their nanocomposites via solution spinning to enhance the anisotropic tensile properties along the fiber axial direction [42-47]. Work is currently underway to optimize the CNT/CNF loading, lyotropic properties and fiber spinning conditions for these nanocomposites. The resultant nanocomposites were characterized by elemental analysis and thermogravimetric analysis (TGA). An excellent agreement is obtained for the weight percents of carbon and hydrogen between empirical and theoretical values for all samples (Table 2).

**[Figure 2. Digital photographs of as-spun single fibers from (a) MWNT-*g-p*PEK and (b) VGCNF-*g-p*PEK in PPA/P<sub>2</sub>O<sub>5</sub> dopes.]**

**[Table 2. Feed ratios of AB monomer and MWNT or VGCNF, thermogravimetric analysis and elemental analysis data]**

### **3.3 FT-IR Study**

We have demonstrated that FT-IR spectrum of as-received VGCNF exhibits small  $\text{sp}^2\text{C-H}$  and  $\text{sp}^3\text{C-H}$  stretching bands at  $2922\text{ cm}^{-1}$  that are attributable to the defects at sidewalls and open end of VGCNF [26]. The FT-IR spectrum of as-received MWNT also has similar stretching bands around  $2969\text{ cm}^{-1}$  [48]. Logically, these defects would provide sites susceptible to the electrophilic substitution reaction. The covalent attachment of polymer to MWNT or VGCNF was conveniently monitored with FT-IR spectroscopy following the appearance of keto-carbonyl band at  $1655\text{ cm}^{-1}$  associated with the product (Figure 3). There were no keto-carbonyl bands, which are stemming from carboxylic acid moiety at the one end of polymer chain. This is indicative that one end of polymer are grafted to the surface of carbon nanomaterials. Furthermore, Soxhlet extractions of MWNT-*g-m*PEK and VGCNF-*g-m*PEK with dichloromethane, which is good solvent for *m*PEK, were carried out and there was only 2-3 % weight loss for each case. In addition to this observation and our previous model study [25], we could assure that the covalent attachment of polymer chains to the carbon nanomaterials most probably occurred.

**[Figure 3. FT-IR (KBr pellet) spectra of nanocomposites. (a) MWNT, (b) VGCNF, (c) 3-PBA, (d) MWNT-*g-m*PEK, (e) VGCNF-*g-m*PEK, (f) 4-PBA, (g) MWNT-*g-p*PEK, (h) VGCNF-*g-p*PEK]**

### **3.4 Thermal Properties**

The differential scanning calorimetry (DSC) scans were run on the powder samples. The glass-transition temperatures ( $T_g$ 's) of *m*PEK, MWNT-*g-m*PEK, and VGCNF-*g-m*PEK samples were

determined by DSC and taken as the mid-point of the maximum baseline shift from the second run. As shown in **Figure 4a**, the pristine *m*PEK displayed a  $T_g$  at 137.7 and 137.7 °C from heating and cooling scans, respectively. Interestingly, MWNT-*g-m*PEK displayed the same  $T_g$  at 137.7 °C during heating scan and had a slightly lower  $T_g$  at 134.2 °C during cooling scan. VGCNF-*g-m*PEK displayed a  $T_g$  at 138.9 and 136.3 °C from heating and cooling scans, respectively. The values were similar to those of *m*PEK and MWNT-*g-m*PEK. Not like our previous results [26], all  $T_g$ 's were of the same within experimental error, indicating that used carbon materials did not have significant effect on  $T_g$ 's of *m*PEK's.

For the  $T_g$ 's of *p*PEK, MWNT-*g-p*PEK and VGCNF-*g-p*PEK as shown in **Figure 4b**, the pristine *p*PEK displayed a  $T_g$  at 166.4 °C during heating scan and had 163.0 °C during cooling scan. MWNT-*g-p*PEK displayed a  $T_g$  at 162.8 and 161.1 °C from heating and cooling scans, respectively. VGCNF-*g-p*PEK displayed a  $T_g$  at 166.1 and 164.8 °C from heating and cooling scans, respectively. Interestingly, the PEK components, including the semicrystalline *p*PEK, in all samples remained amorphous during the polymer-forming process in such a viscous medium (PPA) and work-up stages. The relaxation exotherms of *p*PEK, MWNT-*g-p*PEK and VGCNF-*g-p*PEK appeared at 189.3, 187.0 and 197.5 °C, respectively. The exotherms interrupted the reading of  $T_g$ 's on heating scan. Thus, the  $T_g$ 's taken on cooling scan would give reliable number and comparison. In general,  $T_g$  increased when MWNT (10-20 nm) and VGCNF (60-200 nm) incorporated, and diameter increased from MWNT to VGCNF. The melting endotherm peaks were located in similar ranging 327-330 °C, but the heat of fusion ( $\Delta H_f$ ) decreased in the following order: *p*PEK > MWNT-*g-p*PEK ~ VGCNF-*g-p*PEK.

**[Figure 4. DSC thermograms of PEK (solid), MWNT-g-PEK (dash-dot-dash) and VGCNF-g-PEK (dash-dot-dot-dash) samples run with heating and cooling rates of 10 °C/min: (a) *m*PEK; (b) *p*PEK.]**

The thermogravimetric analysis (TGA) experiments on the powder samples of MWNT, VGCNF, *m*PEK, MWNT-*g-m*PEK and VGCNF-*g-m*PEK indicated that the temperatures at which a 5% weight loss ( $T_{d5\%}$ ) in air occurred at 574, 683, 474, 478, and 489 °C in that order (Figure 5a) and in nitrogen at 789, 697, 496, 479, and 496 °C in that order (Figure 5b). VGCNF displayed the thermo-oxidative stability approximately 90 °C higher than that of MWNT (Table 2). The temperature difference in the thermo-oxidative stability between *m*PEK and MWNT in air was about 100 °C, which was large enough to discern the amount of residual char (11 wt%) attributable to MWNT at the on-set temperature 600 °C (Figure 5a). This value agreed well with the calculated 10.8 wt% of MWNT in MWNT-*g-m*PEK. The temperature difference of thermo-oxidative stability between *m*PEK and VGCNF in air was approximately 210 °C to give residual VGCNF amount on plateau region at 675 °C to be 11%, which was in good agreement with the theoretical amount of VGCNF. In short, these consistencies provide the supporting evidence that MWNT and VGCNF were chemically intact during the polymerization in mild acidic and viscous reaction, and whole work-up procedures.

In the cases of *p*PEK, MWNT-*g-p*PEK and VGCNF-*g-p*PEK samples, the 5% weight loss ( $T_{d5\%}$ ) in air occurred at 494, 513, and 499 °C in that order (Figure 5c), and in nitrogen at 551, 552, and 550 °C in that order (Figure 5d). The thermo-oxidative stability of *p*PEK in air was 20 °C higher than that of *m*PEK and 80 °C lower than that of MWNT. These values were too close to sort out the difference in the thermo-oxidative stability between MWNT and *p*PEK. Therefore, it is unclear whether MWNT has remained structurally intact based on TGA analysis. In contrast, after *p*PEK had been thermo-

oxidatively stripped off from VGCNF-*g-p*PEK at 675 °C, 12.4 wt% of residual VGCNF remained versus 10.8 wt% expected (Table 2). All in all, the good agreement between the theoretical and experimental values for the *p*PEK/VGCNF compositions further lends support to our belief that the MWNT and VGCNF basically remained structurally intact during the *in-situ* polymerization and the subsequent work-up.

[Figure 5. TGA thermograms of MWNT (solid), VGCNF (medium-dash), PEK (dot), PEK-*g*-MWNT (dash-dot-dash), PEK-*g*-VGCNF (dash-dot-dot-dash) with heating rate of 20 °C/min: (a) in air; (b) in nitrogen; (c) in air; (d) in nitrogen.]

### 3.5 Scanning Electron Microscopy (SEM)

The SEM images of pristine MWNT and VGCNF (Figure 6a and 6b, respectively) show that the tube surfaces are clean and smooth. However, the surfaces of MWNT-*g-m*PEK and VGCNF-*g-m*PEK (Figure 6c and 6d, respectively) are distinctly different in a side-by-side comparison with the unmodified MWNT and VGCNF. Both tube surfaces appear to be heavily and uniformly coated with *m*PEK, and thus clearly indicative of polymer grafting of MWNT and VGCNF. In the SEM images particularly viewed at the ends of polymer grafted MWNT and VGCNF (Figure 6c and 6d) even clearly showed that the open ends of tubes (Figure 6b) were heavily sealed with polymer shaping spherical ends (Figure 6d). This may be due to larger population of sp<sup>2</sup>C-H at the open ends of tubes, which could be more actively involved in the Friedel-Crafts acylation in PPA/P<sub>2</sub>O<sub>5</sub> medium. The average diameters for both MWNT-*g-m*PEK and VGCNF-*g-m*PEK were increased by as much as 200 nm. This value was approximately equivalent to minimum 100 repeating units, assuming the polymer grafts were aligned vertically on the surfaces of MWNT or VGCNF and the length of each repeating

unit is 1 nm. However, polymer chains are known to adopt several equilibrium chain conformations depending on the surface grafting density from random one to highly stretch one. Even in the condition of the highly stretched conformation, it is hard to obtain vertically aligned chains due to entropic loss. Thus, average chain length is much longer than 100 repeating units, indicating that high molecular weight polymer chains are covalently attached to the carbon nanomaterials.

Similarly, SEM imaging showed that the surfaces of MWNT-*g-p*PEK and VGCNF-*g-p*PEK (Figure 6e and 6f, respectively) were also vastly transformed in comparison with the surfaces of the pristine MWNT and VGCNF (Figure 6a and 6b, respectively). The tube surfaces were heavily and uniformly decorated with *p*PEK moieties. The average diameters for both MWNT-*g-m*PEK and VGCNF-*g-m*PEK were also increased and the latter was as large as 400 nm (see the inset in Figure 6f). This value was approximately equivalent to minimum 200 repeating units when the assumption the vertically aligned polymer chains attached on the surfaces of MWNT or VGCNF was applied.

**[Figure 6. SEM images (scale bar = 200 nm): (a) as-received MWNT (30000×); (b) as-received VGCNF (40000×); (c) MWNT-*g-m*PEK (30000×); (d) VGCNF-*g-m*PEK (30000×); (e) MWNT-*g-p*PEK (50000×); (f) VGCNF-*g-p*PEK (5000×) and magnified SEM image (50000×).]**

### 3.6 Transmission Electron Microscopy (TEM) Study

The TEM images of MWNT-*g-m*PEK (Figure 7a and 7b) and MWNT-*g-p*PEK (Figure 7c and 7d) showed that the MWNT remained structurally intact, again suggesting the stability of its nano-structural framework under the polymerization and work-up conditions. As clearly observed by TEM, polymers with thickness of 10-50 nm were uniformly grafted onto the surface of MWNT. The lower thickness compared to SEM observation could be stemming from the solvent-induced alignment of

polymer chains to be parallel to the tube axis during sample preparation as described in experimental part. Thus, on the basis of the combined results from SEM and TEM studies, it could be reaffirmed that the electrophilic substitution reaction in PPA/P<sub>2</sub>O<sub>5</sub> medium was indeed benign yet effective in covalently connecting an appropriate polymer onto the surfaces and open ends of electron deficient carbon nanotubes and nanofibers. On the basis of all supportive results, the reaction condition we developed is strong enough to be compatible with the electron-deficient handicap. Thus, efficient covalent modification onto CNT could be done very well.

However, the uniform covalent attachment of arylcarbonyl to electron-deficient CNT via electrophilic substitution reaction could not be completely covered, because sp<sup>2</sup> C-H sites, which are susceptible to Friedel-Crafts acylation, are less than a few percent. To be full explanation for uniformity, we believe the defective sp<sup>2</sup> C-H sites as well as non-defective sp<sup>2</sup> C on the surface of CNT are susceptible to covalent attachment. It would not be pure electrophilic substitution reaction for the later case. If we speculate the possible mechanism, there may be addition-substitution reaction beside electrophilic substitution reaction. To explain the possible mechanism, we are currently under investigation of C<sub>60</sub>, which has only sp<sup>2</sup> C without defects.

**[Figure 7. TEM images obtained from (a) MWNT-g-*m*PEK; (b) VGCNF-g-*m*PEK; (c) MWNT-g-*p*PEK; (d) zoomed in the area of square at (c).]**

### 3.7 Compression Molding

The VGCNF-g-*m*PEK nanocomposites were easily compression-molded (Figure 8). Thus, VGCNF-g-*m*PEK samples were heated under pressure in a Carver Laboratory Press at 150-160 °C for 10 min to afford 6×70 mm (1.2-1.4 mm thickness) sample bars (Figure 8). Thus, it is expected this



family of thermoplastic nanocomposites could be easily processed and fabricated with the conventional molding techniques, and with this value-added feature, their commercialization potential should be greatly enhanced.

**[Figure 8. Compression-molded parts of VGCNF-g-*m*PEK]**

#### **4. Conclusions**

Polyphosphoric acid (PPA) with optimized P<sub>2</sub>O<sub>5</sub> content as an electrophilic-substitution-reaction medium is indeed benign and effective for the covalent attachment of polyetherketones onto the surface of the electron-deficient MWNT and VGCNF. Furthermore, on the basis of all supportive evidences, this work provides one of the most efficient methods to directly and uniformly grafting the surfaces of carbon nanotubes (CNT) and nanofibers (CNF) without or minimum damages. More importantly, the fact that the isolated nanocomposites were easily processed and fabricated with the conventional molding techniques and 5 wt% nanocomposite/PPA dopes could be wet-spun into a single fiber simply by the force of gravity. With this value-added feature, their commercialization potential should be greatly enhanced. This work is envisioned that one of the best tools in the field of chemical modification of carbon nanomaterials for application-specific purpose without concerning interfacial interaction between carbon nanomaterial and matrix, which often considered in physical dispersion of carbon nanomaterial into matrix.

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Research, Asian Office of Aerospace Research and Development (AFOSR-AOARD054027) and Korea Research Foundation (R05-2004-000-10215-0) for their financial supports of this research.

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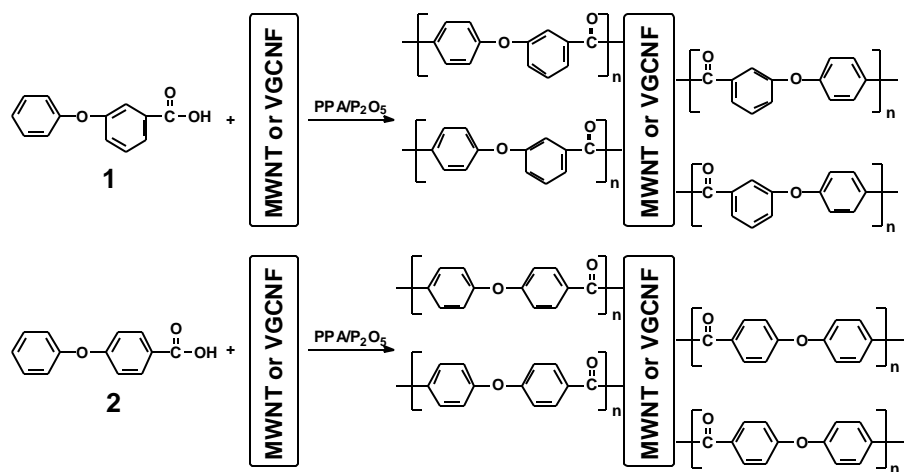
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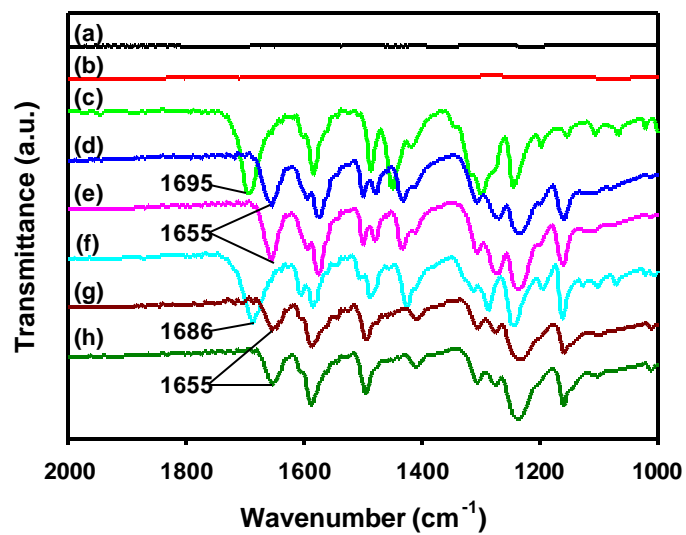
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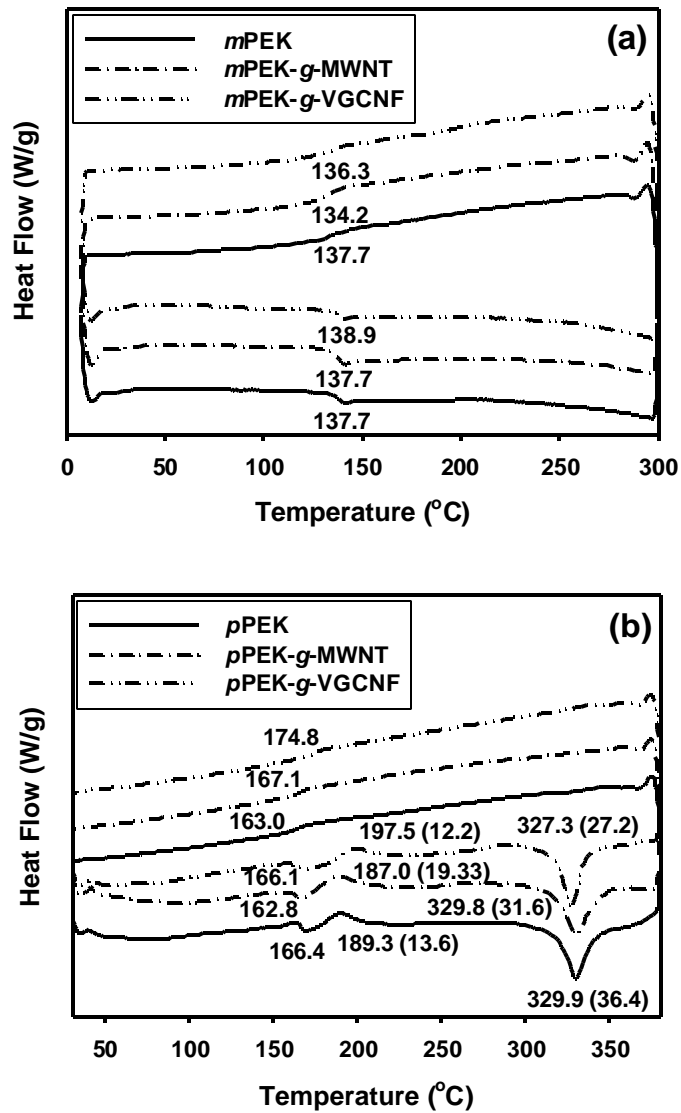


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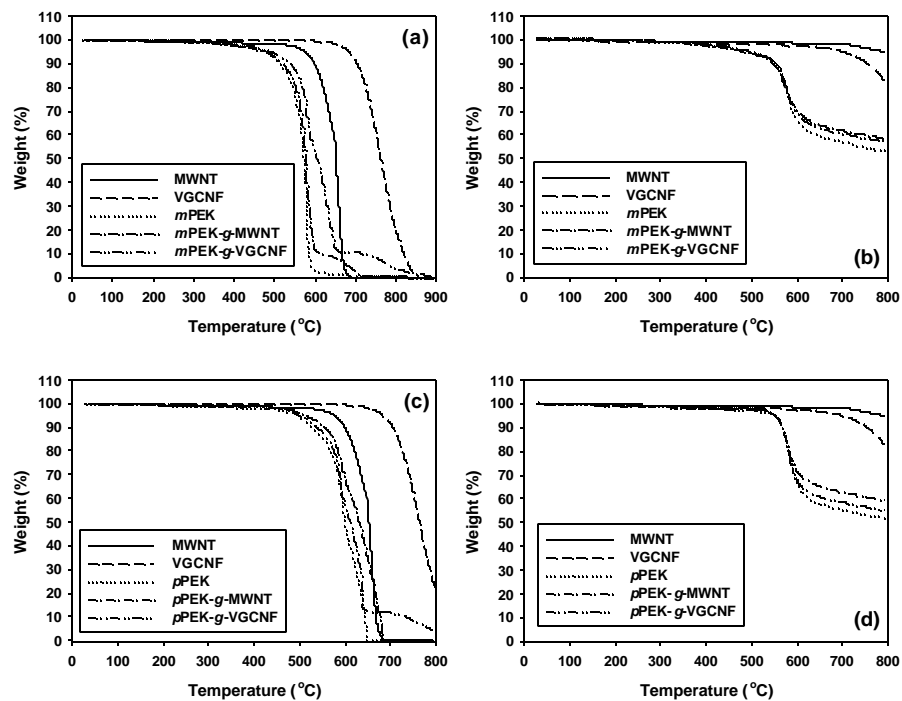
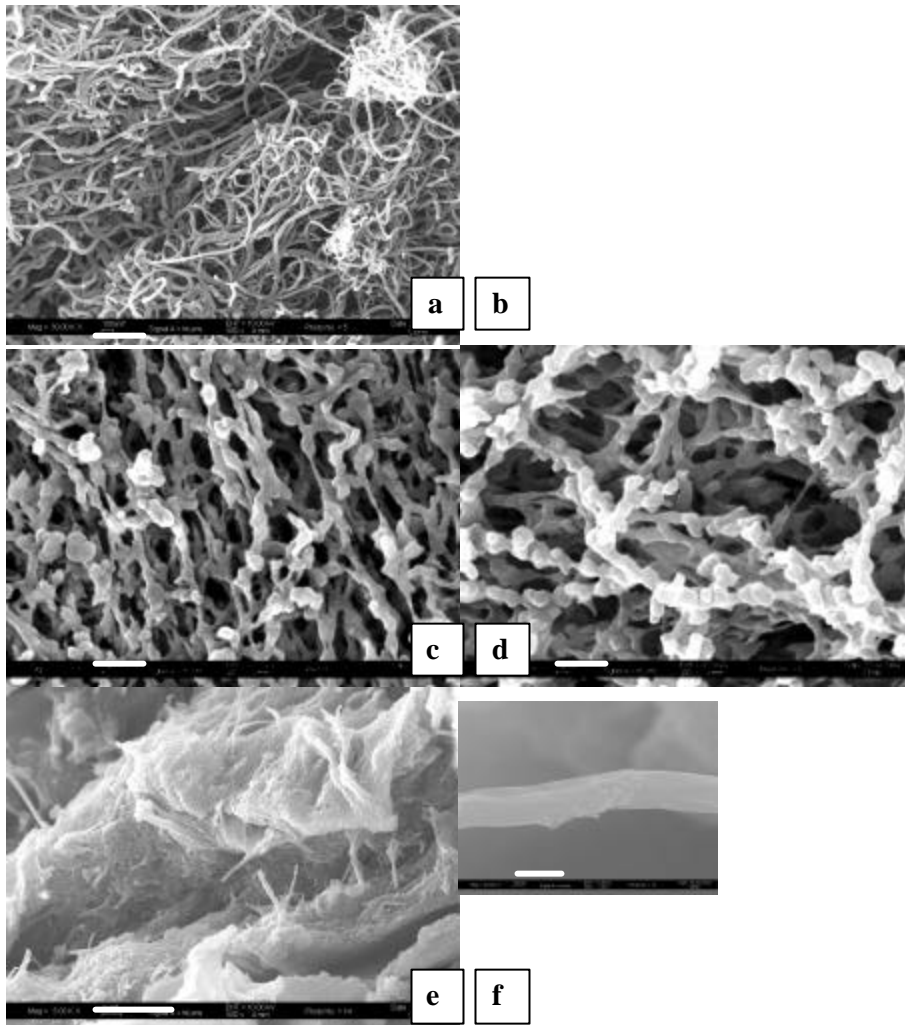
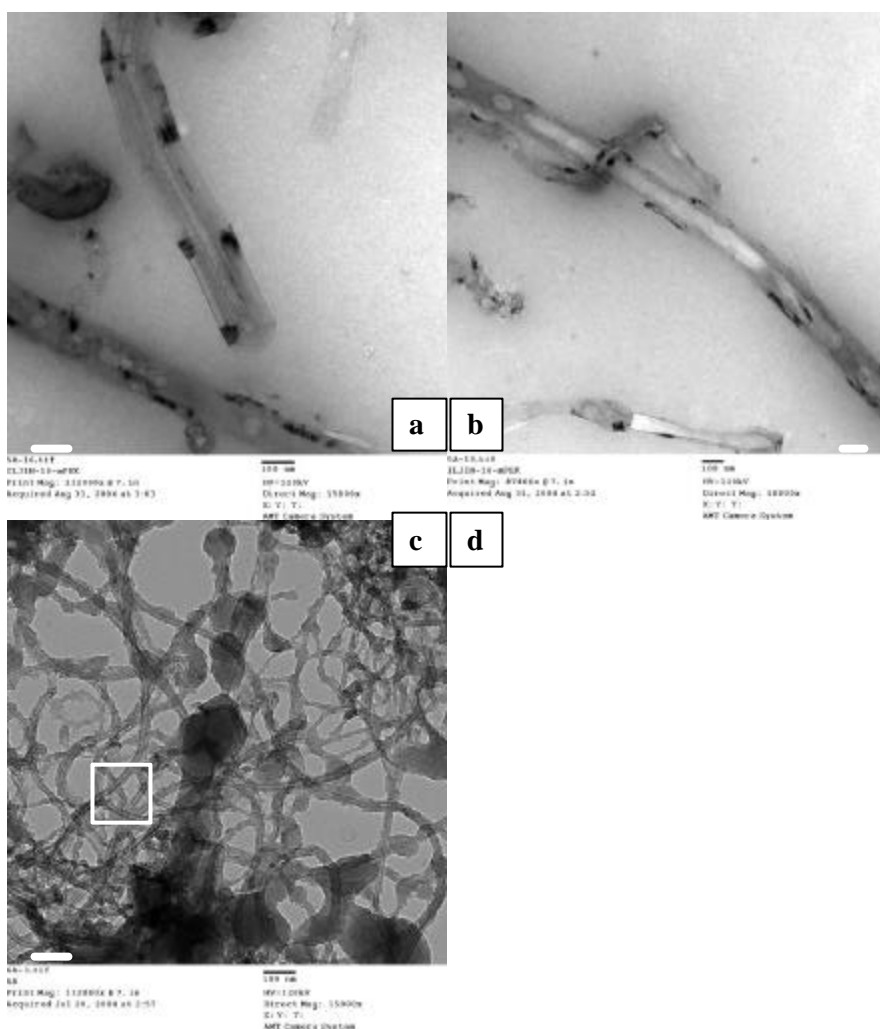


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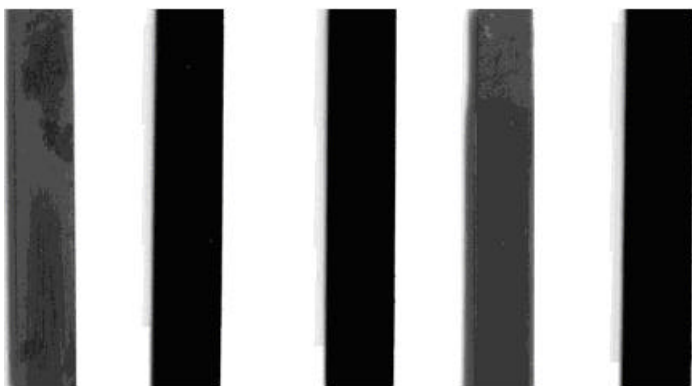




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**Table 1. The Diameter, Length, and Elemental Analysis Data for MWNT and VGCNF**

Sample	Diameter (nm)	Length ( $\mu\text{m}$ )	Elemental Analysis		
				C (%)	H (%)
MWNT	10-20	10-50	calcd	100.00	0.00
			found	96.68	0.15
VGCNF	60-200	30-100	calcd	100.00	0.00
			found	98.97	1.10

**Table 2. Feed ratios of AB monomer and MWNT or VGCNF, thermogravimetric analysis and elemental analysis data**

Feed ratio (wt/wt)		TGA in air		Elemental Analysis		
10	90	calcd	found		C (%)	H (%)
MWNT	Monomer 1	10.8	11.0 at 600 °C	calcd	81.78	3.67
				found	80.00	3.61
MWNT	Monomer 2	10.8	ND <sup>a</sup>	calcd	81.78	3.67
				found	80.19	3.61
VGCNF	Monomer 1	10.8	11.1 at 675 °C	calcd	81.78	3.67
				found	80.28	3.68
VGCNF	Monomer 2	10.8	12.4 at 675 °C	calcd	81.78	3.67
				found	80.12	3.64

a. ND = Not Detectable

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